

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

---

In re Application of: Christiaan H. Koevoets et al  
 Serial Number: To be assigned  
 Filed: Herewith  
 Examiner: To be assigned  
 Group Art Unit: To be assigned  
 For: IMPACT-MODIFIED COMPOSITIONS AND METHOD

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

SIR:

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
     [within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
     [before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
     [before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
     [before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

---

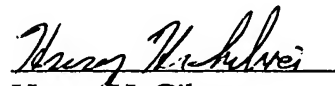
 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express mail in an envelope addressed to: Mail Drop Patent APPLICATIONS, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 4<sup>th</sup> day of February, 2004.

  
 Colleen P. Brennan

- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_", and
- b) The required Certification is stated in item 11 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No.07-0862**. An original and two (2) copies of this document are enclosed.

Respectfully submitted,




Henry H. Gibson  
Attorney for Applicants  
Registration No. 28,951  
Telephone No. (413) 448-4845

General Electric Company  
One Plastics Avenue  
Pittsfield, MA 01201

February 4, 2004

<b>PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b>  (Use several sheets if necessary)										Attorney's Docket Number 141682-1-1		Serial Number To be assigned	
										Name of Applicant Koevoets et al.			
										Filing Date Herewith			
<b>U.S. PATENT DOCUMENTS</b>													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	2	9	9	1	2	7	3					
	AB	2	9	9	9	8	3	5					
	AC	3	0	2	8	3	6	5					
	AD	3	1	4	8	1	7	2					
	AE	3	1	5	3	0	0	8					
	AF	3	2	7	1	3	6	8					
	AG	3	2	7	1	3	6	8					
	AH	3	9	4	4	6	3	1					
	AI	4	2	1	7	4	3	8					
	AJ	4	3	5	1	9	2	1					
	AK	4	6	3	4	7	3	4					
	AL	4	7	8	8	2	5	3					
	AM	3	9	5	7	9	1	6					
	AN	4	1	1	1	8	7	6					
<b>FOREIGN PATENT DOCUMENTS</b>													
	Document Number								Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	AO	EP0913408								Europe			
	AP	52-33656								Japan			
	AQ	59-108056								Japan			
	AR	62-151442								Japan			
	AS	9-272783								Japan			
	AT	11-240925								Japan			
	AU	2001-40160								Japan Tokkai			
<b>OTHER INFORMATION</b> (Including Author, Title, Date, Pertinent)													

	AV	U.S. patent application Serial No. 08/962,458, filed October 31, 1997- 08CQ5741
	AW	U.S. patent application Serial No. 10/748,394, filed December 30,2003- 133996-2
	AX	US Published Patent Application No. 20030162895
EXAMINER		DATE CONSIDERED
<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.</p>		